

INFORMATION REQUESTED FOR UPWARDS REPORTING

1. **Expenditure Reports – requires breakdowns of dollar amounts**

a. Breakdown by strategies and by IOM target

i. Information Dissemination

- Selective
- Indicated
- Universal
- Unspecified

ii. Education

- Selective
- Indicated
- Universal
- Unspecified

iii. Alternatives

- Selective
- Indicated
- Universal
- Unspecified

iv. Problem identification and referral

- Selective
- Indicated
- Universal
- Unspecified

v. Community based process

- Selective
- Indicated
- Universal
- Unspecified

vi. Environmental

- Selective
- Indicated
- Universal
- Unspecified

vii. Other

- Selective
- Indicated
- Universal
- Unspecified

viii. Section 1926 Tobacco (Synar)

- Selective
- Indicated
- Universal
- Unspecified

- b. IOM category totals
 - i. Universal direct
 - ii. Universal indirect
 - iii. Selective
 - iv. Indicated
 - c. Grant totals
 - i. SAPT Block Grant
 - ii. Other Federal
 - iii. State
 - iv. Local
 - v. Other
 - d. State Expenditure Breakdown by Resource Development
 - i. Planning, coordination and needs assessment
 - ii. Quality assurance
 - iii. Training (post employment)
 - iv. Program development
 - v. Research and evaluation
 - vi. Information systems
 - vii. Education (pre-employment)
 - e. Primary Prevention expenditure by service:
 - i. Classroom and/or small group sessions (education)
 - ii. Media campaigns
 - iii. Systematic planning/coalition and community team building (community based processes)
 - iv. Parenting and family management (education)
 - v. Education programs for youth groups (education)
 - vi. Community service activities (alternatives)
 - vii. Student assistance programs (problem identification and referral)
 - viii. Community team building (community based process)
 - ix. Promoting the establishment or review of alcohol, tobacco and drug use policies (environmental)
- 2. Prevention strategy report**
- a. List of Risks
 - b. List of strategies addressing each risk
 - c. Number of providers implementing each strategy
- 3. Primary prevention priorities and special population categories addressed (checklist)**
- a. **Targeted substances**
 - i. Alcohol
 - ii. Tobacco
 - iii. Marijuana
 - iv. Prescription Drugs
 - v. Cocaine
 - vi. Heroin
 - vii. Inhalants
 - viii. Methamphetamine

ix. Synthetic Drugs

b. Targeted populations

- i. College students
- ii. Military families
- iii. LGBTQ
- iv. American Indians
- v. African American
- vi. Hispanic
- vii. Homeless
- viii. Native Hawaiian/Other Pacific islanders
- ix. Asian
- x. Rural
- xi. Underserved racial and ethnic minorities

c. Unduplicated count of persons served

- i. White
 - 17 and Under
 - ◇ Male
 - ◇ Female
 - 18-24
 - ◇ Male
 - ◇ Female
 - 25-44
 - ◇ Male
 - ◇ Female
 - 45-64
 - ◇ Male
 - ◇ Female
 - 65 and over
 - ◇ Male
 - ◇ Female
- ii. Black or African American
 - Same breakdown as c.i. above
- iii. Native Hawaiian or other Pacific Islander
 - Same breakdown as c.i. above
- iv. Asian
 - Same breakdown as c.i. above
- v. American Indian/Alaskan Native
 - Same breakdown as c.i. above
- vi. More than one race reported
 - Same breakdown as c.i. above
- vii. Unknown
 - Same breakdown as c.i. above
- viii. Not Hispanic or Latino
 - Same breakdown as c.i. above
- ix. Hispanic or Latino
 - Same breakdown as c.i. above

4. Performance measures

- a. Individual-based programs and strategies measure: number of persons served by age, gender, race and ethnicity
 - i. Age
 - 0-4
 - 5-11
 - 12-14
 - 15-17
 - 18-20
 - 21-24
 - 25-44
 - 45-64
 - 65 and over
 - ii. Gender
 - Male
 - Female
 - Unknown
 - iii. Race
 - White
 - Black or African American
 - Native Hawaiian/Other pacific islander
 - Asian
 - American Indian/Alaska Native
 - More than one race
 - Race not known or other
 - iv. Ethnicity
 - Hispanic or Latino
 - Not Hispanic or Latino
 - Ethnicity Unknown
- b. Population based programs and strategies measure: number of persons served by age, gender, race and ethnicity
 - i. Same breakdown as 4.a.
- c. Number of persons served by type of intervention for Individual-based and also for population based programs and strategies.
 - i. Intervention Type
 - Universal Direct
 - Universal Indirect – population-based
 - Selective
 - Indicated
- d. Number of evidence-based programs by type of intervention
 - i. Number of evidence-based programs and strategies funded
 - Universal direct
 - Universal indirect
 - Universal total

- Selective
- Indicated
- Total
- ii. Total number of programs and strategies funded
 - Universal direct
 - Universal indirect
 - Universal total
 - Selective
 - Indicated
 - Total
- iii. Percent of evidence-based programs and strategies
 - Universal direct
 - Universal indirect
 - Universal total
 - Selective
 - Indicated
 - Total
- e. Total number of evidence-based programs and total SAPTBG dollars spent on evidence-based programs/strategies.
 - i. Total Number of evidence-based programs/strategies for IOM category
 - Universal direct
 - Universal indirect
 - Selective
 - Indicated
 - ii. Total SAPT Block Grant dollars spent on evidence-based programs/strategies
 - Corresponding to each category in e.i.

5. Opioids

- a. Currently unspecified, but will be singled out and consistent with above information requests

6. STOP ACT Survey

- a. Enforcement Strategies
 - i. State, local law enforcement agencies use
 - Cops in shops
 - Shoulder tap operations
 - Party patrol operations or programs
 - Underage alcohol-related fatality investigations
 - Any state program to investigate and enforce direct sales/shipment laws
- b. Enforcement statistic
 - i. State collects data on number of minors found in possession, including arrests/citations issued by local law enforcement agencies
 - ii. State conducts underage compliance checks/decoy operations
 - Number of retail licenses in state

- Number checked for compliance
 - Number failed compliance checks
 - Number of random compliance checks
 - Data collected on these activities
- c. Sanctions
- i. Number of fines imposed by state
 - ii. Total amount of fines across all licenses
 - iii. Smallest fine imposed
 - iv. Largest fine imposed
 - v. Number of suspensions imposed by state
 - vi. Total days of suspensions across all licenses
 - vii. Shortest period of suspension imposed in days
 - viii. Longest period of suspension imposed in days
 - ix. Number of license revocations imposed